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## **Title**

Long-term mortality in HIV positive individuals virally suppressed for more than three years with incomplete CD4 recovery.

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### **Running head**

Virally suppressed HIV positive individuals on cART who do not achieve CD4 count >200 cells/ $\mu$ L have substantially increased long-term mortality. The increased mortality was seen across different patient groups and for all causes of death.

### **Keywords**

HIV, CD4 cell recovery, sustained viral suppression, risk factors, mortality

**Abstract:****Background**

Some HIV infected individuals initiating combination antiretroviral therapy (cART) with low CD4 counts achieve viral suppression but not CD4 cell recovery. We aimed to identify (1) risk factors for failure to achieve CD4 count  $>200$  cells/ $\mu$ L after three years of sustained viral suppression and (2) the association of achieved CD4 count with subsequent mortality.

**Methods**

We included treated HIV infected adults from two large international HIV cohorts, who were virally suppressed ( $\leq 500$  HIV-1 RNA copies/ml) for  $>3$  years with CD4 count  $\leq 200$  cells/ $\mu$ L at start of the suppressed period. Logistic regression was used to identify risk factors for incomplete CD4 recovery ( $\leq 200$  cells/ $\mu$ L) and Cox regression to identify associations with mortality.

**Results**

Of 5550 eligible individuals, 835 (15%) did not reach CD4 count  $>200$  cells/ $\mu$ L after three years of suppression. Increasing age, lower initial CD4 count, male heterosexual and injection drug use transmission, cART initiation after 1998 and longer time from initiation of cART to start of the virally suppressed period were risk factors for not achieving CD4 count  $>200$  cells/ $\mu$ L. Individuals with CD4  $\leq 200$  cells/ $\mu$ L after three years of viral suppression had substantially increased mortality (adjusted hazard ratio 2.60; 95% confidence interval 1.86-3.61) compared to those who achieved CD4 count  $>200$  cells/ $\mu$ L. The increased mortality was seen across different patient groups and for all causes of death.

**Conclusions**

Virally suppressed HIV positive individuals on cART who do not achieve CD4 count  $>200$  cells/ $\mu$ L have substantially increased long-term mortality.

## **Main manuscript:**

### **Introduction**

The introduction of combination antiretroviral therapy (cART) has decreased morbidity and mortality in HIV positive individuals due to viral suppression and CD4 cell recovery (1-3).

However, some individuals treated with cART achieve viral suppression but not CD4 cell recovery (4-6). Several studies have shown that individuals with successful virological response to cART and incomplete CD4 recovery have increased mortality (4;5;7-9). However, the only previous study exclusively examining the long-term mortality in individuals started late on cART with sustained viral load (VL) suppression and low CD4 count is limited by small sample size (8).

By combining data from two large international collaborations of HIV cohorts, The Antiretroviral Therapy Cohort Collaboration (ART-CC) and The Collaboration of Observational HIV Epidemiological Research Europe (COHERE), we examined risk factors for failure to achieve CD4 recovery among treated individuals who were virally suppressed for more than three years, and compared mortality rates after three years of viral suppression according to CD4 count reached at end of the virally suppressed period.



## **Methods**

### **Setting and participants**

The ART-CC is an international collaboration of 18 cohort studies of HIV-1 positive individuals from Europe and North America which was established in 2000 to examine the prognosis of HIV-1 positive, treatment-naïve individuals initiating cART (10). COHERE was established in 2005 and is an international collaboration of 35 cohorts from 29 European countries. The COHERE data were pooled within the EuroCoord network ([www.EuroCoord.net](http://www.EuroCoord.net)). Each collaboration focuses on scientific questions requiring large sample sizes, which the contributing cohorts cannot answer individually (11). Further information about the two collaborations is available at <http://www.art-cohort-collaboration.org> and <http://www.COHERE.org>.

### **Study population and design**

We identified all HIV-1 positive individuals who: 1) were >16 years old at start of the suppressed period, 2) were on cART continuously (defined in ART-CC as treatment with at least 3 drugs from 2 different classes and in COHERE as the concomitant use of at least three antiretroviral drugs) for at least three years, 3) after start of cART had a period with suppressed VL of at least three years (all VL $\leq$ 500 HIV-1 RNA copies/ml and never a time span of more than 7 months between VL measurements), 4) had a CD4 cell count  $\leq$ 200 cells/ $\mu$ L at the start of the virally suppressed period (figure 1). Individuals enrolled in more than one cohort were identified and only one record per individual was included. A VL cut-off of  $\leq$ 500 copies/ml was chosen in order to overcome the heterogeneity of the assay detection limits used during the study period.

## Statistical Analysis

### *Risk factors for failure to achieve a CD4 count >200 cells/ $\mu$ L after three years of viral suppression*

We used logistic regression to identify risk factors for not achieving CD4 count >200 cells/ $\mu$ L after three years of sustained viral suppression. We assessed the effect of CD4 count at the start of the suppressed period as a categorical variable (0-25, 26-50, 51-100, 101-150 and 151-200 cells/ $\mu$ L). In a sensitivity analysis, we fitted separate models for each of these CD4 strata. The following variables were included in all models: age at start of virally suppressed period (years; <30, 30-39, 40-49,  $\geq$ 50), probable route of infection/gender (men who have sex with men (MSM), male heterosexuals, female heterosexuals, injection drug use (IDU), other/unknown (the number of male and female IDUs and other/unknowns did not allow for further classification according to gender), pre-cART VL (last VL available within three months before start of cART or first VL within a month after start of cART if the former was not available; VL <100,000 copies/ml,  $\geq$ 100,000 copies/ml and missing), year of cART initiation (1996-1997, 1998-2000, 2001-), time from cART initiation to start of suppressed period (<12 months,  $\geq$ 12 months), AIDS (no AIDS event,  $\geq$ 1 AIDS event before start of the virally suppressed period). We tested for interactions between pairs of variables.

### *Risk factors for mortality after 3 years of viral suppression*

Person-years at risk were calculated from the date of the first CD4 count within three months after three years of viral suppression to the earlier of time of death, loss to follow-up or end of observation. We estimated mortality rates and Kaplan Meier plots according to CD4 count at the end of the three year virally suppressed period ( $\leq$ 200, 201-350, 351-500 or >500 cells/ $\mu$ L) and used Cox regression to estimate hazard ratios (HR) for death according to these CD4 count groups. All analyses were adjusted for the covariates listed above (AIDS events in this analysis were up to the

end of the virally suppressed period) and stratified by cohort. We used Cox regression to compare individuals with CD4 counts  $\leq 200$  cells/ $\mu$ L and  $>200$  cells/ $\mu$ L at the end of the suppressed period within strata defined by: age at start of virally suppressed period, route of infection/gender, AIDS status, and CD4 at start of suppressed period. In sensitivity analyses we (1) used a cut-off value of 50 copies/ml to define viral suppression and (2) included only study subjects reporting sexual route of transmission and no positive test for hepatitis C virus (HCV) co-infection (HCV was defined as a positive test for HCV RNA or a positive test for HCV IgG antibody at any time during follow-up).

### *Analysis of causes of death*

COHERE does not collect data on causes of death, so these analyses were restricted to individuals included in ART-CC. Online supplement 1 describes how the causes of death data in this study were assigned and categorized. Causes of death were further categorized as AIDS defining, non-AIDS defining, unnatural (accident/violent/suicide/drug abuse) and unknown (12). Non-AIDS causes of death were further divided into hepatitis, cancers and other. As analyses of different causes of death can pose the problem of competing risks, we estimated both sub-distribution HRs (using the Fine and Gray approach) and standard (Cox) HRs (adjusted only for age and gender due to the small number of events) (13). Because these estimates did not differ appreciably, we report only the estimates from Cox models. SPSS statistical software, Version 15.0 (Norusis; SPSS Inc., Chicago, Illinois, USA) and R software, version 2.8.1, were used for data analysis.

## **Results**

We identified 113,845 unique HIV-1 positive individuals from the COHERE and ART-CC cohorts, of whom 41,081 were treated with cART for  $<3$  years, 50,495 did not have sustained viral suppression and 368 had no available CD4 measurement at the start of the suppressed period. Of

21,901 individuals who achieved sustained viral suppression for three years or more, 16,193 had CD4 count >200 cells/ $\mu$ L at start of the virally suppressed period and 158 had no available CD4 measurement at the end of the suppressed period, leaving 5550 individuals (20,291 person years of observation, median follow-up time 3.4 years, IQR 1.6–5.3 years) eligible for analyses (figure 1). A histogram of the distribution of CD4 count at the start of the virally suppressed period is shown in online supplement 2. The majority of these (4715; 85.0%) achieved CD4 count >200 cells/ $\mu$ L after three years of viral suppression. Half (2904; 52.3%) were aged >40 years at the start of the suppressed period (table 1).

#### *Risk factors for failure to achieve CD4 count >200 cells/ $\mu$ L*

We found that risk of failure to achieve a CD4 count >200 cells/ $\mu$ L increased with increasing age and with decreasing CD4 count at start of the virally suppressed period (table 2). Compared with MSM, males with heterosexual route of infection, IDU, and those with other or unknown transmission group had greater risk of incomplete CD4 recovery. Risk was also greater in those whose last viral load before start of cART was <100,000 copies/ml, those who initiated cART after 1998 and those who had 12 months or more from initiation of cART to start of the virally suppressed period. In models stratified on CD4 at start of the suppressed period, the impact of age and AIDS defining illness on incomplete CD4 recovery appeared similar across CD4 strata (online supplement table 1). Patients infected via IDU had consistently higher risk of incomplete CD4 recovery than those infected via MSM.

#### *Time to death from any cause*

A total of 175 (3.2%) individuals died: 66 (7.9%) of those who did not attain CD4 count >200 cells/ $\mu$ L and 109 (2.3%) of those who attained CD4 count >200 cells/ $\mu$ L. Table 3 shows that individuals who did not attain CD4 count >200 cells/ $\mu$ L after three years of sustained viral suppression had substantially increased mortality compared with those who achieved CD4 count

>200 cells/ $\mu$ L (adjusted HR (95% CI); 2.60 (1.86-3.81)). The cumulative probability of survival stratified by CD4 count are presented in figure 2. The estimated 5-year cumulative mortality (95%CI) was 11.8% (8.9%-15.2%) in patients with CD4 count <200 cells/ $\mu$ L at the end of the suppressed period, compared with 4.1% (3.1%-5.3%), 2.2% (1.4%-3.4%) and 2.2% (1.2%-3.7%) in patients with CD4 count 201-350, 351-500 and >500 cells/ $\mu$ L at the end of the suppressed period. Compared to individuals with CD4 count >500 cells/ $\mu$ L at the end of the suppressed period, adjusted HR (95%CI) in individuals with 351-500, 201-350 and  $\leq$ 200 CD4 cells/ $\mu$ L were 0.62 (0.32-1.19), 1.28 (0.74-2.23) and 2.62 (1.47-4.67), respectively.

#### *Mortality hazard ratios stratified on risk factors*

Table 3 shows that the impact on mortality of not achieving CD4 count >200 cells/ $\mu$ L was most pronounced in individuals whose CD4 count at the start of the suppressed period was 151-200 cells/ $\mu$ L (those in whom the increase in CD4 since start of ART was lowest). However, estimated hazard ratios within CD4 strata were estimated imprecisely, and their confidence intervals overlapped. The impact of incomplete CD4 recovery appeared similar across strata defined by age, mode of transmission, viral load before start of cART, year of cART initiation, time from initiation of cART to start of the virally suppressed period and AIDS defining disease (table 3).

#### *Sensitivity analyses*

Of 2692 individuals who were eligible when a cut-off of 50 copies/ml for viral suppression was used, 2253 (83.7%) achieved CD4 count >200 cells/ $\mu$ L. Estimated effects of risk factors for not achieving a CD4 count >200 cells/ $\mu$ L were broadly similar to those in the main analyses, although there was less evidence of elevated risk among heterosexual males (adjusted odds ratio (95%CI); 1.17 (0.88-1.55)) and females (0.64 (0.45-0.93)) (online supplement table 2). In this analysis a total of 33 (7.5%) and 41 (1.8%) individuals with and without incomplete CD4 recovery respectively died during follow-up, and the adjusted HR for not attaining (compared with attaining) CD4 count

>200 cells/ $\mu$ L was 3.96 (95% CI; 2.36 – 6.66). Exclusion of 1625 (29.3%) individuals who did not report sexual route of transmission nor had HCV co-infection left 3925 individuals with 87 deaths. The adjusted HR for not attaining (compared with attaining) CD4 count >200 cells/ $\mu$ L was 2.98 (95% CI; 1.85 – 4.79).

#### *Time to death from specific causes*

Of 4135 individuals included in analyses of cause-specific mortality, 619 (15.0%) did not attain CD4 count >200 cells/ $\mu$ L after the suppressed period and 121 (2.9%) died. Most deaths were from non-AIDS defining causes, in both groups (table 4). Mortality due to AIDS-defining, non-AIDS defining and unnatural causes of death was increased substantially in individuals who did not attain CD4 count >200 cells/ $\mu$ L and was highest for hepatitis and non-AIDS defining cancers (adjusted HRs (95%CI); 6.76 (1.93–23.74) and 2.89 (1.44-5.28)).

## Discussion

Based on data combined from two large international HIV cohort collaborations, we found that among HIV positive individuals with three years of viral suppression on cART, those with incomplete CD4 recovery (CD4 count  $\leq 200$  cells/ $\mu$ L) had markedly higher mortality rates than those who achieved CD4 count  $>200$  cells/ $\mu$ L. These higher rates were observed consistently across strata defined by age, gender, transmission group and prior AIDS-defining illness. Rates of both AIDS- and non-AIDS-defining causes of death were elevated. We identified older age, transmission via male heterosexual sex or IDU, lower CD4 count at start of the suppressed period and longer time from initiation of cART to start of the virally suppressed period as risk factors for incomplete CD4 cell recovery.

### *Strengths and weaknesses.*

Since 15% of treated HIV positive individuals have CD4 count  $<200$  cells/ $\mu$ L after long-term viral suppression, prognosis of such patients is a major concern. By combining data from two collaborations of HIV cohort studies, we assembled a sufficiently large dataset to permit us to examine both risk factors and prognosis for all-cause and cause-specific mortality among patients with incomplete CD4 recovery. The contributing cohort studies represent a wide variety of countries and settings, and our results are therefore likely to be generalizable to treated HIV positive people in Western Europe and North America. Not all contributing cohorts link their data with national death registries, which may lead to an underestimation of mortality rates. However estimates of relative mortality comparing different groups should not be biased, providing that non-ascertained deaths are missing at random (MAR) (14). Serological tests for co-infection with HCV were not performed systematically in all cohorts, therefore some co-infected individuals may have been misclassified. However, findings were similar in a sensitivity analysis excluding both IDUs and HCV co-infected individuals, and the impact of misclassification of HCV sero-status may have

been limited because of its strong association with transmission via IDU, which was available from all cohorts. We did not have access to data on smoking, other comorbidity (for example diagnosis of non-AIDS cancers) or non-cART medications (for example cancer chemotherapy) and were therefore not able to adjust for such factors or assess whether they predict incomplete CD4 recovery.

Our definition of CD4 recovery (CD4 count >200 cells/ $\mu$ L after three years of viral suppression following cART initiation) differs from other definitions of recovery: for example NIH guidelines defined an adequate response as an increase in CD4 count in the range of 50 to 150 cells/ $\mu$ L per year (15). Our definition of CD4 recovery was based on two considerations. First, the increase in CD4 count over time since start of cART in virologically suppressed patients depends on baseline CD4 count (6). Second, the risk of mortality is strongly related to current CD4 count (16;17).

#### *Results in context with other literature*

Previous studies have identified age (8;18;19) and low baseline CD4 counts (4;18;20) as risk factors for incomplete CD4 cell recovery. However two of these (16;20) used designs that differed from ours in terms of inclusion criteria and length of the virally suppressed period. The effect of age observed in our study is consistent with its association with thymus size and activity and suggests that initiation of cART before immune-incompetence is especially important in older HIV individuals (21-23).

Most studies that examined clinical endpoints according to achieved CD4 count in virally suppressed patients used short periods of viral suppression or did not have inclusion criteria relating to baseline CD4 count (9;17;24). These studies mainly estimated short term effects of changes in CD4 count, rather than elucidating the long term impact of sustained low CD4 cell counts in long-term virally suppressed patients. Two previous studies examined implications of incomplete CD4



recovery among patients with sustained viral suppression and our findings are in accordance with their estimates. Loutfy et al. observed a 2.69-fold (95% CI 1.26-5.78) increase in mortality comparing 176 patients with CD4 count <200 cells/μl with 1545 patients with CD4 count >200 cells/μl after 2 years of viral suppression (24). That study had both shorter duration of and less strict criteria for viral suppression as well as a much smaller and less generalizable sample. We previously reported the relative risk of death to be 3.4 (95% CI 1.4-8.0) in a small study comparing 55 immunological non-responders with 236 responders in the Danish HIV Cohort Study, using a design almost identical to the present study (8). Data on causes of death were not available in either of these two studies. Although we observed increased mortality in all subgroups of individuals with poor CD4 recovery, the relative risk of death was greatest among individuals with baseline CD4 count between 151 and 200 cells/μL. These individuals are characterized by the lowest increase (or even a decrease) in CD4 counts during the three year period of virological suppression, a phenomenon which may be related to non-HIV comorbidity such as cancer.

Consistent with our results, previous studies have found incomplete CD4 recovery to be associated with an increased risk of non-AIDS cancer (25-27). It is still a matter of debate whether low CD4 counts lead to non-AIDS defining cancers or whether common risk factors lead to low CD4 count and non-AIDS defining cancers. Higher rates of non-AIDS mortality among individuals with incomplete CD4 recovery may be related to chronic immune activation in virally suppressed individuals (28;29).

### *Implications and conclusions*

Our data underline the importance of early diagnosis of HIV and treatment with cART before patients have a low CD4 count. Although we have identified risk factors for poor CD4 recovery, no interventions to increase CD4 count in virally suppressed patients have been demonstrated to have beneficial effects on clinical endpoints and mortality. Previous studies have not consistently

demonstrated differences between antiretroviral drug classes in effects on CD4 increases, and attempts to increase CD4 count with IL-2 were futile in terms of clinical benefit (30-32). Virally suppressed patients with low CD4 counts should be monitored closely for diseases not conventionally considered HIV-related, especially non-AIDS defining cancers and liver diseases. Our study demonstrated an increased risk of non-AIDS causes of death in immunological non-responders: further research is needed to elucidate the mechanisms that lead to persistently low CD4 counts despite viral suppression.

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## Conflicts of interest

Potential competing interests: Niels Obel has received research funding from Roche, Bristol-Myers Squibb, Merck Sharp & Dohme, GlaxoSmithKline, Abbott, Boehringer Ingelheim, Janssen-Cilag and Swedish Orphan. Frederik N Engsig has received research funding from Merck Sharp & Dohme. Jan Gerstoft has received research funding from Abbott, Roche, Bristol-Myers Squibb, Merck Sharp & Dohme, Pharmasia, GlaxoSmithKline, Swedish Orphan and Boehringer Ingelheim. Heiner C. Bucher has received travel grants, honoraria and unrestricted research grants from various pharmaceutical companies including, GlaxoSmithKline, Bristol-Myers-Squibb, Gilead, Janssen, Roche, Abbott, Tibotec, Boehringer-Ingelheim and ViiV Healthcare. Heiner C. Bucher is supported by grants from Santésuisse and the Gottfried and Julia-Bangerter-Rhyner-Foundation. Felix Gutiérrez has received research funding from Abbott, Boehringer-Ingelheim, Bristol-Myers Squibb, Gilead Sciences, GlaxoSmith-Kline, Janssen-Cilag, Merck Sharp & Dohme, Pfizer, and ViiV Healthcare. In the past 3 years, Geneviève Chêne has received consulting fees (Scientific Committee) from the French Agency for Research on AIDS and Viral Hepatitis (ANRS), the European Commission (Framework Program 7), UK Medical Research Council, US National Institute of Health (NIH), Fondation Plan Alzheimer, Gilead, Tibotec, Boehringer Ingelheim, GlaxoSmithKline, Roche, Pfizer, Merck, Abbott, Bristol-Myers Squibb, Janssen, ViiV Healthcare. However, these grants are managed through her institution and a non-profit society.

Luis Force has received honoraria for advisory boards, a fee for speaking and a fee for organizing education from various pharmaceutical companies including Abbott, Bristol Myers Squibb, Boehringer-Ingelheim, Gilead Sciences, GlaxoSmithKline, Merck and Janssen-Cilag. Timothy Sterling has received research grants from Pfizer, Bristol Myers Squibb and Virco to conduct HIV observational studies. Caroline Sabin has received funding for Advisory Board membership, speaker panels and provision of educational materials for Gilead Sciences, Abbott Pharmaceuticals,

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**Table 1.** Clinical and demographic characteristics of 5550 eligible HIV individuals, according to CD4 count at end of the virally suppressed period. ART-CC and COHERE HIV multicohort collaboration 2012.

Characteristic	CD4 count at end of virally suppressed period	
	≤200 cells/μL	>200 cells/μL
Number (person-years of observation)	835 (2936)	4715 (17355)
Deaths (mortality rate per 100 PYR)	66 (2.2)	109 (0.6)
Median time from start of cART to start of the suppressed period, months (IQR)	2.8 (1.3-12.1)	2.5 (1.2-5.5)
Age at start of the suppressed period (years)		
<30 (%)	45 (5.4)	512 (10.9)
30-39 (%)	233 (27.9)	1956 (41.5)
40-49 (%)	275 (32.9)	1440 (30.5)
>50 (%)	282 (33.8)	907 (17.1)
Route of infection/Gender		
MSM (%)	227 (27.2)	1695 (35.9)
Male heterosexuals (%)	216 (25.9)	995 (21.1)
Female heterosexuals (%)	101 (12.1)	933 (19.8)
IDU (%)	128 (15.3)	489 (10.4)
Other/unknown (%)	163 (18.6)	603 (12.8)
CD4 count at start of the suppressed period		
≤25 (%)	75 (9.0)	223 (4.7)
26-50 (%)	108 (12.9)	370 (7.8)
51 - 100 (%)	268 (32.1)	970 (20.6)
101 -150 (%)	231 (27.7)	1377 (29.2)
151 – 200 (%)	153 (18.3)	1775 (37.6)
Last viral load before start of cART (copies/ml)		
< 100,000 (%)	391 (46.8)	2129 (38.4)
≥ 100,000 (%)	424 (50.8)	2470 (44.5)
VL missing (%)	20 (2.4)	116 (2.5)
Year of start of cART		
1996-1997	69 (8.3)	442 (9.4)
1998 - 2000	336 ()	1686 (35.8)
2001 -	430 (51.5)	2587 (54.9)
Time from cART initiation to start of suppressed period		
<12 months	626 (75.0)	3952 (83.8)
≥12 months	209 (25.0)	763 (16.2)
One or more AIDS events before start of suppressed period (%)		
No AIDS	425 (51.9)	2580 (54.7)
AIDS	410 (49.1)	2135 (45.3)

PYR = person-years at risk; cART = combination antiretroviral therapy; IQR = inter quartile range; MSM = men who have sex with men; IDU = injection drug use; VL = viral load; AIDS = acquired immune deficiency syndrome.

**Table 2.** Factors associated with failure to achieve CD4 count > 200 cells/ $\mu$ L after three years of viral suppression on cART. ART-CC and COHERE HIV multicohort collaboration 2012.

	Unadjusted odds ratio (95%CI)	Adjusted odds ratio (95%CI)
Age at start of the suppressed period (years)		
<30	1 (reference)	1 (reference)
30-39	1.36 (0.97-1.89)	1.31 (0.93-1.85)
40-49	2.17 (1.56-3.03)	2.04 (1.45-2.88)
$\geq 50$	3.98 (2.85-5.55)	4.01 (2.84-5.68)
Route of infection/ Gender		
MSM	1 (reference)	1 (reference)
Male hetero	1.62 (1.32-1.98)	1.50 (1.21-1.85)
Female hetero	0.81 (0.63-1.04)	0.89 (0.68-1.15)
IDU	1.96 (1.54-2.48)	2.03 (1.57-2.61)
Other/unknown	2.02 (1.62-2.52)	1.72 (1.37-2.17)
CD4 count (cells/ $\mu$ L) at start of suppressed period		
$\leq 25$	3.90 (2.86-5.32)	5.21 (3.75-7.23)
26-50	3.39 (2.58-4.44)	4.46 (3.35-5.95)
51-100	3.21 (2.59-3.97)	3.73 (2.99-4.68)
101-150	1.95 (1.57-2.42)	2.08 (1.67-2.60)
151-200	1 (reference)	1 (reference)
Last viral load before start of cART **		
< 100,000 copies/ml	1.07 (0.92-1.24)	1.13 (0.97-1.33)
$\geq 100,000$ copies/ml	1 (reference)	1 (reference)
Year of start of cART		
1996-1997	1 (reference)	1 (reference)
1998 - 2000	1.28 (0.97-1.69)	1.44 (1.07-1.93)
2001 -	1.07 (0.81-1.40)	1.37 (1.02-1.84)
Time from cART initiation to start of suppressed period		
<12 months	1 (reference)	1 (reference)
$\geq 12$ months	1.73 (1.45-2.06)	2.05 (1.68-2.50)
One or more AIDS events before start of suppressed period		
No AIDS	1.17 (1.01-1.35)	0.89 (0.76-1.04)
AIDS	1 (reference)	1 (reference)

MSM = men who have sex with men; IDU = injection drug use; cART = combination antiretroviral therapy; AIDS = acquired immune deficiency syndrome.

\* Adjusted for all other covariates listed in the table.

\*\* 136 individuals had no baseline VL and were included in the analysis as missing VL.



**Table 3.** Mortality hazard ratios comparing individuals with CD4 count  $\leq 200$  and  $>200$  cells/ $\mu$ L at the end of suppressed period, overall and stratified on CD4 count at start of suppressed period, age, mode of transmission and AIDS defining events. ART-CC and COHERE HIV multicohort collaboration 2012.

Strata	Deaths (N)		Person-time of observation (years)		Crude HR (95%CI)	Adjusted* HR (95%CI)
	$\leq 200$ cells/ $\mu$ L	$>200$ cells/ $\mu$ L	$\leq 200$ cells/ $\mu$ L	$>200$ cells/ $\mu$ L		
All individuals	66	109	2937	17346	3.35 (2.46-4.57)	2.60 (1.86-3.61)
Strata: Age (years)						
<30	1	2	154	1826	NA	NA
30-39	8	25	863	7457	3.00 (1.33-6.76)	3.01 (1.26-7.16)
40-49	19	40	937	5174	2.50 (1.44-4.34)	2.04 (1.12-3.70)
$\geq 50$	38	42	983	2889	2.51 (1.61-3.93)	3.18 (1.96-5.14)
Strata: Route of transmission/ Gender						
MSM	13	30	84	6694	3.58 (1.85-6.93)	2.62 (1.28-5.34)
Male heterosexual	14	25	729	3516	2.86 (1.47-5.57)	2.41 (1.19-4.86)
Female heterosexual	7	7	298	2975	8.21 (2.80-24.05)	NA
IDU	12	27	461	1810	1.60 (0.78-3.27)	1.43 (0.65-3.17)
Other/unknown	20	20	605	2350	3.13 (1.68-5.83)	2.53 (1.26-5.07)
Strata: CD4 count at start of suppressed period						
$\leq 25$ cells/ $\mu$ L	6	2	317	904	NA	NA
26-50 cells/ $\mu$ L	6	5	452	1386	2.92 (0.86-9.93)	2.76 (0.66-11.46)
51-100 cells/ $\mu$ L	17	26	973	3731	2.56 (1.35-4.85)	1.43 (0.71-2.88)
101-150 cells/ $\mu$ L	22	40	735	5022	3.40 (2.00-5.75)	2.62 (1.49-4.60)
151-200 cells/ $\mu$ L	15	36	459	6303	5.57 (3.01-10.32)	3.82 (1.95-7.45)
Strata: Last viral load before start of cART						
Viral load $<100,000$ copies/ml	30	51	1365	7520	2.98 (1.88-4.72)	2.23 (1.34-3.72)
Viral load $\geq 100,000$ copies/ml	33	58	1517	9504	3.19 (2.06-4.94)	2.61 (1.63-4.18)

Strata: Year of cART initiation						
1996-1997	8	22	394	2750	2.87 (1.24-6.64)	2.25 (0.87-5.84)
1998-2000	40	56	1535	8453	3.65 (2.42-5.51)	2.74 (1.74-4.30)
2001-	18	31	1008	6143	3.39 (1.87-6.14)	3.03 (1.62-5.65)
Strata: Time from cART initiation to start of suppressed period						
<12 months	18	18	573	2336	3.04 (2.13-4.33)	2.51 (1.23-5.14)
≥12 months	48	91	2363	15010	3.96 (2.03-7.70)	2.53 (1.72-3.70)
Strata: One or more AIDS events by end of suppressed period						
No AIDS	28	48	13507	8584	3.51 (2.18-5.64)	2.49 (1.47-4.20)
AIDS	38	61	1587	8762	3.05 (2.02-4.61)	2.47 (1.59-3.83)

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HR = hazard ratio; MSM = men who have sex with men; IDU = injection drug use; cART = combination antiretroviral therapy; AIDS = acquired immune deficiency syndrome.

\* Adjusted for all other covariates listed in the table. Stratification factor removed from list of adjustments.

NA: numbers in these strata were too small for an analysis to be performed



**Table 4.** Cause-specific hazard ratios comparing HIV individuals (ART-CC only) with CD4 count  $\leq 200$  vs.  $>200$  cells/ $\mu$ L at end of suppressed period. ART-CC and COHERE HIV multicohort collaboration 2012.

Causes of death	Number (%) of deaths according to CD4 count at end of suppressed period		HR (95% confidence interval)	
	$\leq 200$ cells/ $\mu$ L	$>200$ cells/ $\mu$ L	Unadjusted	Adjusted*
All	41 (100)	80 (100)	3.05 (2.10- 4.44)	2.52 (1.71-3.70)
AIDS defining causes of death	4 (9.8)	7 (8.8)	3.39 (0.99-11.57)	2.75 (0.78-9.69)
Non-AIDS defining causes of death	26 (63.4)	49 (61.3)	3.14 (1.95-5.06)	2.61 (1.61-4.23)
Hepatitis	5	5	5.95 (1.72-20.56)	6.76 (1.93-23.74)
Non-AIDS cancer	14	23	3.50 (1.73-6.95)	2.89 (1.44-5.28)
Other causes of death**	6	21	1.69 (0.68-4.18)	1.24 (0.50-3.12)
Unnatural causes of death	3 (7.3)	8 (10.0)	2.27 (0.60-8.57)	2.07 (0.54-7.97)
Unknown causes of death	8 (19.5)	16 (19.5)	3.00 (1.28-7.01)	2.34 (0.98-5.59)

HR = hazard ratio; AIDS = acquired immune deficiency syndrome.

\*Adjusted for gender and age ( $\leq 50$  years vs.  $>50$  years)

\*\*Other causes of death for patients with CD4 count  $\leq 200$  cells/ $\mu$ L were; 2 related to infection, 2 related to cardiovascular disease, 2 related to digestive system disease. Other causes of death for patients with CD4 count  $>200$  cells/ $\mu$ L were related to infection (5) lung diseases (2), cardiovascular diseases (9), digestive system diseases (2), central nervous system diseases (2) and renal diseases (1).



**Figure 1.** Flowchart and study timeline. ART-CC and COHERE HIV multicohort collaboration 2012.

**Figure 2.** Cumulative probability of survival stratified by CD4 count at the end of the virally suppressed period: 1)  $CD4 \leq 200$  cells/ $\mu$ L (full line), 2)  $200 < CD4 \leq 350$  cells/ $\mu$ L (broken line), 3)  $350 < CD4 \leq 500$  cells/ $\mu$ L (dotted line) and 4)  $CD4 > 500$  cells/ $\mu$ L (broken and dotted line). ART-CC and COHERE HIV multicohort collaboration 2012.

\*\*Dear editorial staff. I have attached the TIFF but I was not able to explain the 4 categories under Number at risk in the statistic program why I previously have used a word box, as seen below. How do we fix this?

<b><math>CD4 \leq 200</math></b>	——
<b><math>200 &lt; CD4 \leq 350</math></b>	-----
<b><math>350 &lt; CD4 \leq 500</math></b>	.....
<b><math>CD4 &gt; 500</math></b>	— · — ·

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